

### **Terms and Conditions of “Trade & Win Google Share Campaign”**

The following Terms & Conditions shall apply to Rakuten Trade Sdn. Bhd. (“Rakuten Trade”) **“Trade & Win Google Share Campaign”** (hereinafter referred to as “the Campaign”). This Campaign shall be held from **22<sup>nd</sup> August 2022 – 31<sup>st</sup> December 2022** (hereinafter referred to as “Campaign Period”).

#### **Eligibility**

1. By participating in this Campaign, you hereby agree to be bound by the following Terms and Conditions.
2. The Campaign terms and conditions (“Terms and Conditions”) shall apply to all new and existing clients of Rakuten Trade who have activated a Rakuten Trade account (“Eligible Participants”).
3. A Rakuten Trade account is activated upon the issuance of a Central Depository System (CDS) number.
4. Eligible Participants who terminate their existing Rakuten Trade account and thereafter activate a new Rakuten Trade account (Cash Upfront) during the Campaign Period shall NOT be eligible to participate in this Campaign.
5. Any individual who is below the age of eighteen (18) years as of the activation date shall NOT be eligible to participate in the Campaign.
6. All Campaigns herein CAN be combined with any other Rakuten Trade account opening and trading related rewards, Reward Ecosystem, or Promotion Code.

#### **Campaign Mechanism: “Enable Foreign Trading Service”**

7. Eligible Participants who successfully activate Foreign Trading service via Rakuten Trade’s Cash Upfront Account during the Campaign Period shall be rewarded with a one-time 2,000 Rakuten Trade points (“RT points”) per Eligible Participant.
8. A Foreign Trading Service is activated upon the issuance of an Interactive Brokers (IBKR) number.
9. Applicable RT Points in this Campaign shall be credited to the Eligible Participants’ account within fifteen (15) business days of the following month, or any further date as determined by Rakuten Trade in its sole discretion.
10. The RT Points credited shall expire within one (1) month from the date of receipt and can be used to offset brokerage only.

#### **Campaign Mechanism: “1st Trade on US”**

11. Eligible Participants who successfully activate Foreign Trading service and perform a first matched trade in the U.S. markets during the Campaign Period shall be rewarded with a one-time 3,000 RT points.
12. A Foreign Trading Service is activated upon the issuance of an Interactive Brokers (IBKR) number.

13. Applicable RT Points in this Campaign shall be credited to the Eligible Participants' account within fifteen (15) business days of the following month, or any further date as determined by Rakuten Trade in its sole discretion.
14. The RT Points credited shall expire within one (1) month from the date of receipt and can be used to offset brokerage only.

#### **Campaign Mechanism: "Trade & Maintain a USD Cash Balance"**

15. Eligible Participants who have performed at least 1 successful matched trade in the U.S. market using USD (US dollar) and maintain a cash balance in USD at 5.00 pm (GMT +8) in each month end (as stated in the table below) during the Campaign Period shall automatically qualify to enter a monthly lucky draw to win 1 FREE Google (GOOGL) stock.

| Campaign    |                            | Month 1<br>(August) | Month 2<br>(September) | Month 3<br>(October) | Month 4<br>(November) | Month 5<br>(December) |
|-------------|----------------------------|---------------------|------------------------|----------------------|-----------------------|-----------------------|
| Eligibility | # of Eligible Participants | 10                  | 10                     | 10                   | 10                    | 10                    |
|             | Trade in USD during:       | 26-31 Aug           | 1-30 Sep               | 1-31 Oct             | 1-30 Nov              | 1-31 Dec              |
|             | Hold USD on:               | 31-Aug (5pm)        | 30-Sep (5pm)           | 31-Oct (5pm)         | 30-Nov (5pm)          | 31-Dec (5pm)          |
| Rewards     | Announcing By:             | 5-Sep               | 5-Oct                  | 5-Nov                | 5-Dec                 | 5-Jan                 |
|             | Rewarding By:              | 30-Sep              | 31-Oct                 | 30-Nov               | 31-Dec                | 31-Jan                |

16. 50 Eligible Participants will be randomly selected to participate in a monthly lucky draw and the winners shall be rewarded with 1 FREE Google (GOOGL) stock each. Each Eligible Participant is entitled to win only one (1) prize during the Campaign Period
17. Rakuten Trade has the right and at its absolute discretion to amend or replace the prize at any time with or without prior notice.
18. A successful trade refers to either buy or sell transaction with "Matched" and/or "Partial Matched" status.
19. Rakuten Trade shall announce the winners' full name and last 4 digits of NRIC on all Rakuten Trade assets every month before the 5<sup>th</sup> calendar day during the Campaign Period.
20. The applicable reward in this Campaign shall be credited to the Eligible Participants' account within 45 calendar days, or any further date as determined by Rakuten Trade in its sole discretion.

#### **General**

21. By participating in the Campaign, all Eligible Participants are deemed to have agreed to be bound by these Terms & Conditions. Rakuten Trade's decision on all matters relating to the Campaign is final, binding and conclusive. No correspondence, queries, appeals or protests will be entertained.
22. Participation in this Campaign shall be deemed acceptance and consent by the Eligible Participants for their personal data (including but not limited to the name, national identity card number or passport number) being collected, processed by Rakuten Trade for the purpose of this Campaign and in accordance with Rakuten Trade's Personal Protection Notice which is available at [https://www.rakutentrade.my/files/pdpa\\_notices.pdf](https://www.rakutentrade.my/files/pdpa_notices.pdf).
23. Rakuten Trade reserves the right to cancel, terminate, suspend the Campaign with or without any prior notice. For the avoidance of doubt, cancellation, termination or suspension by Rakuten Trade shall not entitle any of the Eligible Participant to any claim or compensation against Rakuten

Trade for any losses or damages suffered or incurred by the Eligible Participant as a direct or indirect result of the act of cancellation, termination or suspension.

24. Rakuten Trade reserves the right at its absolute discretion to amend, delete or add to any of these Terms and Conditions at any time with or without prior notice. Any variation, additions, deletions or amendments to the Terms and Conditions herein shall be binding on the Eligible Participants, and be deemed to be brought to the attention of the Eligible Participant through any notice displayed at Rakuten Trade's website <https://www.rakutentrade.my/b/campaigns>.
25. Rakuten Trade shall not be held liable and/or responsible for any loss, damage or any other form of liability (including indirect, consequential or economic losses, loss of profits, and loss of opportunity) in whatsoever nature and howsoever arising or suffered by any Eligible Participants directly or indirectly from the Campaign.
26. Rakuten Trade shall not be held liable for any losses or liabilities arising from any force majeure event which include but is not limited to an act of God, war, riot, lockout, industrial action, fire, flood, drought, store or any event beyond the reasonable control of Rakuten Trade.
27. This Campaign shall be governed by and construed in accordance with the laws of Malaysia and subject to the exclusive jurisdiction of the Courts of Malaysia.